



1744 0130
Docket No.: PF-0509 USN 336562
23 SEP 2002

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box PCT, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on September 20, 2002.

By: [Signature] Printed: Margaret M. Hasson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hillman et al.

Title: HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES

Serial No.: 09/674,743 Filing Date: January 16, 2002

Examiner: To Be Assigned Group Art Unit: To Be Assigned

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Arlington, VA 22202

TRANSMITTAL FEE SHEET

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TC 1700

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Supplemental Response to Notification of Defective Response (2 pp.);
3. Copy of Notification of Defective Response, 271 Formalities Letter (2 pp.);
4. Courtesy Copy of Executed Declaration (6 pp.);
5. Petition for Name Change of Inventor Under 37 CFR 1.182 (1 pg.);
6. Statement Regarding Name Change (1 pg.);
7. Application Data Sheet "ADS" (8 pp.);
8. Supplemental Application Data Sheet (8 pp.);
9. Second Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
10. One (1) Substitute CRF diskette containing the Sequence Listing ; and
11. Paper Copy of Sequence Listing (120 pp.).

<u>X</u> Fee for Petition Under 37 CFR - 1.17(h)	\$ 130.00
<u>X</u> Please charge Deposit Account No. 09-0108 in the amount of :	\$ <u>130.00</u>

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

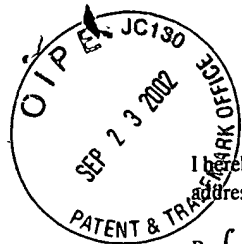
INCYTE GENOMICS, INC.

Date: 20 Sept 2002

[Signature]

Diana Hamlet-Cox
Reg. No. 33,302
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Docket No.: PF-0509 USN

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13/A
JM
11/4/02

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Arlington, VA 22202

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TG 1700

SUPPLEMENTAL RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on **August 20, 2002**, (copy attached), Applicants submit the document(s) listed below to complete the filing for the above-identified patent application.

1. Courtesy Copy of Executed Declaration (6 pp.);
2. Petition for Name Change of Inventor Under 37 CFR 1.182 (1 pg.);
3. Statement Regarding Name Change (1 pg.);
4. Application Data Sheet "ADS" (8 pp.);
5. Supplemental Application Data Sheet (8 pp.);
6. Second Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
7. One (1) Substitute CRF diskette containing the Sequence Listing; and
8. Paper Copy of Sequence Listing (120 pp.).

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 20 Sept 2002



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AUG 26 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/674,743	FIRST NAMED APPLICANT Jennifer Hiliman	ATTY. DOCKET NO. PF-0509 US N
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INTERNATIONAL APPLICATION NO. PCT/US99/09935

I.A. FILING DATE 05/04/1999	PRIORITY DATE 05/05/1998
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Incyte Genomics
3160 Porter drive
Palo Alto, CA 94304

CONFIRMATION NO. 5333

371 FORMALITIES LETTER



OC000000008652722

Date Mailed: 08/20/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration

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TC 1700

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Chandra Arvizu last name is listed as Patterson on the published translation

Applicant is required to complete the response within a time limit of **ONE MONTH** from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

AUG 26 2002

- The CRF is Damaged
- APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/674,743	PCT/US99/09935	PF-0509 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attn: Box PCT, Washington, D.C. 20231 on October 30, 2001

Rec'd PCT/PTO 16 JAN 2002

By: [Signature]Printed name: Lyza Finuliar

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Hillman et al.**Title: **HUMAN TRANSCRIPTIONAL REGULATOR MOLECULES**

Serial No.: 09/674,743

Filing Date:

To Be Assigned

Examiner: To Be Assigned

Group Art Unit:

To Be Assigned

Box PCT

Commissioner for Patents

Washington, D.C. 20231

REQUEST TO TRANSFER

Sir:

This paper is responsive to the Notification of a Defective Response (copy attached) which was mailed on April 30, 2001. The period of time for response has been extended until October 30, 2001, by the accompanying Petition and fee.

With regard to the requirement of 37 CFR 1.821(e) which requires that a copy of the Sequence Listing in computer readable form (CRF) be submitted, Applicants state that the paper copy of the Sequence Listing for the instant application is identical with the computer readable form filed with PCT Application No. **PCT/US99/09935**, filed **May 4, 1999** in the US/RO to which priority is claimed. In accordance with 37 C.F.R. §1.821(e), please use the computer readable form filed with PCT Application No. **PCT/US99/09935** as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant divisional application.

Applicants believe that no fee is due with this communication. However, if the USPTO determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. **09-0108**.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 30 Oct 2001[Signature]

Diana Hamlet-Cox

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